

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Akiyoshi KAWAOKA, et al.

SERIAL NO: 10/552,146

GAU:

FILED: October 7, 2005

EXAMINER:

FOR: NOVEL VECTOR AND METHOD OF CONSTRUCTING TRANSFORMANT PLANT USING THE VECTOR

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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U.S. PCT Application Serial No: 10/552,146

Filed: October 7, 2005

Akiyoshi KAWAOKA, et al.

Docket No. 279407 US

STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) Reference AW has been cited in the corresponding Supplementary European Search Report. A copy of this references is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
279407USOPCTSERIAL NO.  
10/552,146

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Akiyoshi KAWAOKA, et al.FILING DATE  
October 7, 2005

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AO						
	AE						
	AE						
	AC						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES NO
	AO				
	AP				
	AO				
	AR				
	AS				
	AT				
	AU				
	AV				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/BP/	AW	JAGANNATH et al., "THE USE OF A SPACER DNA FRAGMENT INSULATES THE TISSUE-SPECIFIC EXPRESSION OF A CYTOTOXIC GENE (BARNASE) AND ALLOWS HIGH-FREQUENCY GENERATION OF TRANSGENIC MALE STERILE LINES IN BRASSICA JUNCEA L.", MOLECULAR BREEDING, Vol. 8, No. 1, pages 11-23, 2001
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner /Brent Page/

Date Considered 03/18/2009

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.